

SANTA CLARA UNIVERSITY

Academic Integrity Protocol

Background

The University is committed to a pursuit of truth and knowledge that requires both personal honesty and intellectual integrity as fundamental to teaching, learning, scholarship, and service. Therefore, all members of the University community are expected to be honest in their academic endeavors, whether they are working independently or collaboratively, especially by distinguishing clearly between their own original work and ideas, and those of others, whether published or not. (2003-05 *Undergraduate Bulletin*, p. 2)

A student who is guilty of a dishonest act in an examination, paper, or other work required for a course, or who assists others in such an act, may, at the discretion of the instructor, receive a grade of F for the course. (2003-05 *Undergraduate Bulletin*, p. 350)

A student found guilty of a dishonest act may be subject to sanctions up to and including dismissal from the University as a result of the student judicial process as described in the *Community Handbook*. (2003-05 *Undergraduate Bulletin*, p. 350)

Engaging in any form of academic dishonesty, such as plagiarism (representing the work or ideas of others as one's own without giving proper acknowledgment), cheating (e.g., copying the work of another person, falsifying laboratory data, sabotaging the work of others), and other acts generally understood to be dishonest by faculty or students in an academic context subjects a student to disciplinary action. (2003-04 *Community Handbook*, p. 6)

Protocol

Allegations within the Context of a Course

These procedures are intended to protect the integrity of the instructional program and of student academic achievement. Any member of the Santa Clara University community with a suspicion or evidence of academic dishonesty of some kind as described in the Student Conduct Code (e.g. plagiarism, falsification of data, misrepresentation of research, or the use of prohibited materials during an examination, and other acts generally understood to be dishonest by faculty or students in an academic context) may initiate an allegation of student academic dishonesty. The following describes procedures for resolution by due process.

If the allegation arises within the context of a course or academic assignment, its resolution begins with the instructor responsible for that course or assignment, who informs the student of the suspicion. If the instructor judges on the basis of available evidence that an academic violation has occurred, the instructor applies an academic sanction and notifies the student of the reason for the academic sanction. The instructor decides on the severity of the academic sanction (e.g. refusal to accept an assignment, "F" on the particular assignment, or "F" for the entire course). The instructor will report in writing to the department chair and the Office of Student Life what violation of academic integrity has occurred and what academic sanction has been applied. The Office of Student Life will pursue the matter as a violation of the Student Conduct Code through the University Judicial Process. This process is not intended to limit academic freedom.

Appeal Process for Academic Sanctions

If, after discussion with the instructor concerning the academic sanction applied, the student wants to challenge the instructor's decision, the student will contact the chair of the department in

which the course is offered. If the instructor is the department chair, then the appeal is made to the dean of the school or college in which the course is offered or his/her designate, and the dean or designate refers the case to the chair of a closely related department.

The department chair hearing the appeal has the option to convene an *ad hoc* panel if he/she believes that the complexity of the case warrants doing so. The student suspected of committing academic dishonesty has the right to bring a support person whose only role is to accompany the student to the hearing. The panel will include two full-time faculty members from the department in which the course was offered, one full-time faculty member from a closely related department, and two students who are trained student judicial board members. Staff in the Office of Student Life will arrange for the participation of the student panel members. The charge of the panel is to study all previously considered and newly developed evidence, review statements of all parties concerned, interview all parties concerned, and make a recommendation to the department chair.

The parties involved have the right to file an objection to the appointment of a particular faculty member or student to the *ad hoc* panel. This objection must be based upon a belief that the named faculty member or student is unable to conduct an impartial evaluation and therefore will not review the case in an impartial manner. The objection is filed with the chair hearing the appeal, who will make a ruling on this objection. If necessary, the chair will then appoint a different faculty member or student.

After reviewing all relevant materials and information, including the recommendation of the *ad hoc* panel when one is convened, the department chair will consider all evidence available, confer with all parties concerned, inform all parties of her or his recommendation regarding the alleged violation, and report the recommendation to the student and the Office of Student Life. However, final responsibility for assigning grades remains with the instructor of the course.

Decisions may only be appealed to one level above the instructor. All proceedings are intended to be confidential.

If the student wishes to withdraw from the course but the instructor's signature is required for the withdrawal process, the instructor may refuse to sign an approval of the withdrawal.

University Judicial Process

When the Office of Student Life receives the report, the Assistant Dean will meet with the student to discuss the relevance of the violation to the Student Conduct Code. Whether further judicial sanctions are applied or not, the report of academic dishonesty will remain on file in the Office of Student Life for the remainder of the student's enrollment at Santa Clara University. The student involved has the right to include a statement as part of these files.

If it is ruled that the student committed an academic integrity violation, the Office of Student Life will administer a judicial sanction that would range from a letter of warning to expulsion from the University. The severity of the judicial sanction depends on the severity of the circumstances, including the student's judicial history and previous academic integrity violation.

Allegations outside a Course

If the allegation involves a situation outside the context of a course, resolution begins with the Office of Student Life. The Assistant Dean will confer with all parties concerned. After hearing all evidence and conducting further investigation as needed, the Assistant Dean will either hear the case or refer it to a judicial board in accordance with the University Judicial Process. The outcome of the hearing will be communicated to those involved.

Groups Consulted: A&S Dean and Chairs, Engineering Dean and Chairs, Business Dean and Chairs, Business Undergraduate Leadership Team, Drahnann Advising Center, Vice Provost for Undergraduate Education, Student Records, Office of Student Life, Academic Affairs University Policy Committee, Student Affairs University Policy Committee, Counseling Psychology and Education Dean, Legal Counsel, and Athletics.

Recommended by Academic Integrity Task Force, Spring 2004

Recommended by Student Affairs Committee, Spring 2004

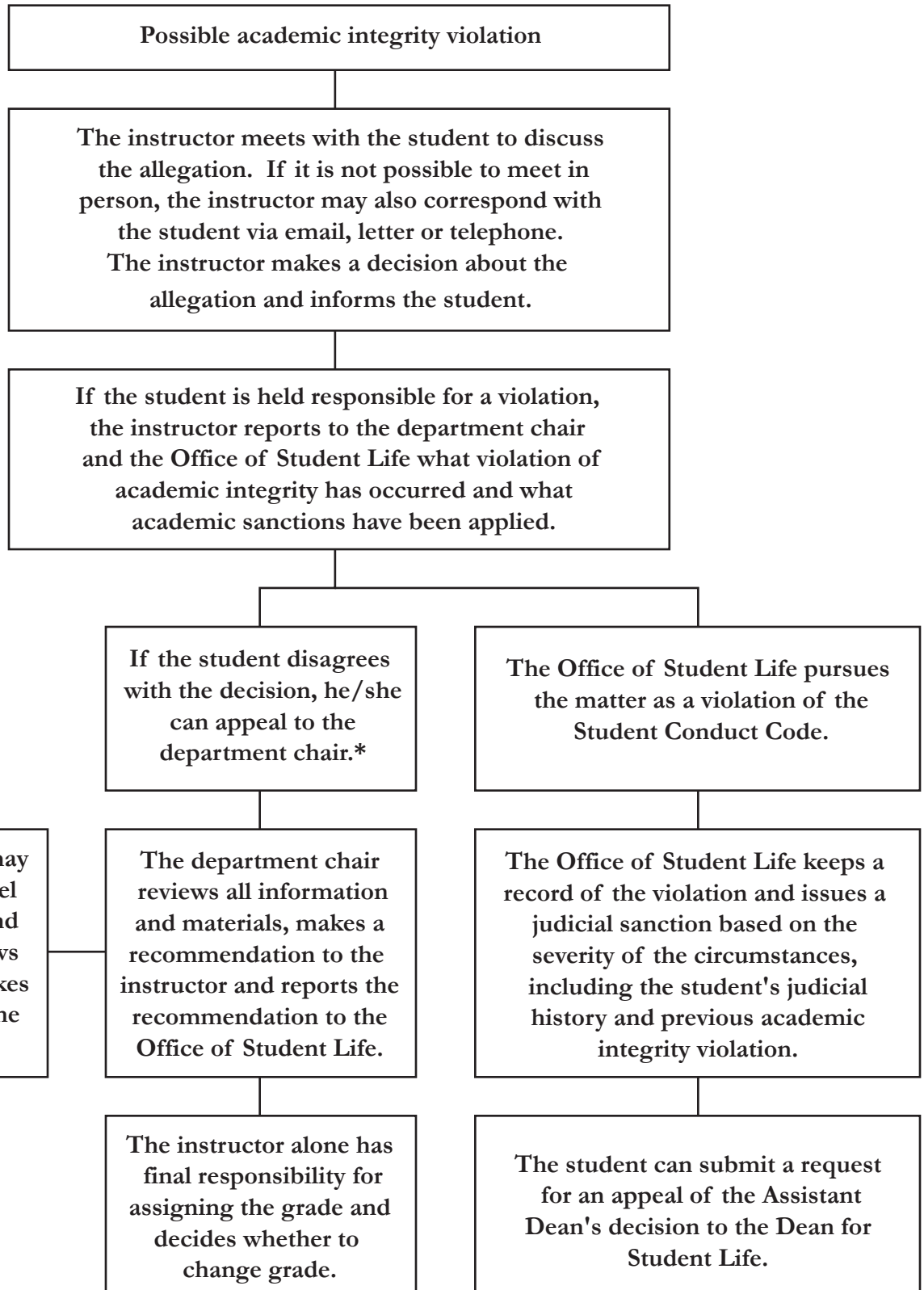
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Approved by Provost on July 23, 2004



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Flowchart



* If the chair is the instructor for the course, the appeal is made to the dean of the school or college in which the course is offered and referred to the chair of a closely related department.