

Hersh Shefrin
Mario L. Belotti Professor of Finance
Department of Finance
Leavey School of Business
Santa Clara University
Santa Clara, California 95053

Office: 408/554-6893
FAX: 408/554-5206
EMAIL: hshefrin@scu.edu

Education

B.Sc. (Hons.), University of Manitoba, 1970
M. Mathematics, University of Waterloo, 1971
Ph.D., London School of Economics, 1974

Ph.D. Honoris Causa, University of Oulu, Finland, 2006

Academic Positions

Assistant Professor, Department of Economics, University of Rochester, 1974-1979
Associate Professor, Department of Economics, Santa Clara University, 1979-1986
Professor, Department of Economics, Santa Clara University, 1986-1990
Chair, Department of Economics, Santa Clara University, 1983-88
Professor, Department of Finance, Santa Clara University, 1990-present

Publications

The Global Financial Crisis And it Aftermath: Hidden Factors in the Meltdown, edited by A.G. Malliaris, L. Shaw, and H. Shefrin, New York: Oxford University Press, forthcoming October 2016.

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“Prediction Tools: Financial Market Regulation, Politics and Psychology,” with Shabnam Mousavi, *Journal of Risk Management in Financial Institutions*, 2010.
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Grants and Awards

National Science Foundation

Association for Investment Management Research

Dean Witter Foundation

Graham and Dodd Scroll, for “Ethics, Fairness and Efficiency in Financial Markets,” with Meir Statman, *Financial Analysts Journal*, 1993.

William F. Sharpe Prize for best paper appearing in the *Journal of Financial and Quantitative Analysis* during 2000, with Meir Statman
Santa Clara University Faculty Senate Professor Award, Santa Clara University 2002
Best paper award 2002, with K. Chung and H. Jo, *Journal of Korean Securities Association*
Winner of 2008 IMCA Journalism Award. “How the Disposition Effect and Momentum Impact Investment Professionals,” *Monitor and Journal of Investment Consulting*, 8, no. 2, Summer 2007.

Media

Quoted by the *Wall Street Journal*, *the New York Times*, *the Financial Times*, *the Los Angeles Times*, *the San Jose Mercury News*, *the San Francisco Chronicle*, *the Boston Globe*, *Chicago Tribune*, *the San Diego Union-Tribune*, *the Atlanta-Journal Constitution*, *the New York Post*, *the Sydney Morning Herald*, *Barron's*, *Forbes*, *Forbes.com*, *Forbes Global*, *Money Magazine*, *Fortune*, *Individual Investor*, *US News & World Report*, *Newsweek*, *Newsweek Japan*, *Business Week*, *Technical Analysis of Stocks and Commodities*, *Family Money*, *Green*, *Working Woman*, *Red Herring*, *Global Investment*, *CFO Magazine*, and *the San Jose Business Journal*. Listed among the academic stars of finance in January 2001 issue of *CFO Magazine*. Also interviewed on radio and television. Top 10 Alltime Hits SSRN.

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