

# PLAN DOCUMENT

## SELF-INSURED VOLUNTARY DISABILITY & PAID FAMILY LEAVE BENEFIT PLAN FOR CALIFORNIA EMPLOYEES OF **SANTA CLARA UNIVERSITY**

FOR DISABILITY AND FAMILY LEAVES COMMENCING ON OR AFTER JANUARY 1, 2017

Effective with respect to disabilities commencing on or after January 1, 2017, Santa Clara University hereby amends and restates, in its entirety, the Santa Clara University California Voluntary Disability Plan so as to read as set forth below:

### I. ELIGIBILITY AND EFFECTIVE DATE OF COVERAGE

#### A. Eligibility

All California Employees of the Employer, in covered employment as defined by section 2606 in the California Unemployment Insurance Code, are eligible for coverage under this Plan.

#### B. Effective Date of Coverage

Individuals employed prior to the effective date of the Plan will be eligible for coverage on the effective date of the Plan. Individuals employed on or after the effective date of the Plan are covered as of the date of their employment, unless coverage is rejected in writing. Any Employee who initially accepts coverage under this Plan may subsequently elect to withdraw from the Plan within ten (10) days following the effective date of any amendment to the Plan or, for any other reason, on the first (1st) day of the first (1st) Calendar Quarter following the date of such election, by notifying the Employer in writing. Any Employee who has rejected coverage, or who has withdrawn from the Plan and who subsequently elects, in writing, to be covered under the Plan, will be covered on the first (1st) day of the second (2nd) Calendar Quarter next following the date of notifying the Employer in writing of such election. The Plan effective date is January 1, 1993.

### II. CONTRIBUTIONS

Employees covered under the Plan will make contributions to the Plan in an amount equal to or less than the contribution rate established by the California Employment Development Department for the California State Disability Plan each year. Employees will be notified of the Plan contribution rate for the next year no later than December 31st of each year.

### III. DISABILITY BENEFITS

Any Employee covered under this Plan who becomes disabled by any physical or mental illness, injury, including but not limited to pregnancy, childbirth, or related medical condition so as to prevent him or her from performing his or her regular or customary work, or who has been ordered not to work by written order from a State or local health officer because he/she is infected with, or suspected of being infected with, a communicable disease; or who has been referred or recommended by competent medical authority to participate as a resident in either an approved alcoholism recovery program or an approved drug free residential program; however, benefits for alcoholism recovery treatment will be paid for a period not to exceed thirty (30) days, and benefits for drug free residential facility participation will be paid for a period not to exceed forty-five (45) days in a Disability Benefit Period, unless the referring physician certifies to the need for continuing residential services, in which event benefits will be payable for up to sixty (60) additional days for alcoholism recovery treatment, and for up to forty-five (45) additional days for drug free residential facility participation.

The payment of all benefits is subject to the limitations and provisions hereinafter stated.

#### A. Waiting Period

Disability benefits will commence on the eighth (8th) day of Disability.

#### B. Amount of Benefits

All eligible Employees will receive a weekly benefit equal to sixty (60%) percent of his or her Wages or Regular Wages, up to a maximum weekly benefit of one-thousand and one hundred fifty dollars (\$1,180) and minimum weekly benefit of fifty (\$50.00) dollars.

#### C. Benefits for Less Than One (1) Week

For each day of any period of Disability for which benefits are payable and which is less than a full week, the amount of benefit payable will be one-seventh (1/7th) of the applicable weekly benefit.

#### D. Maximum Total Benefit

The maximum benefit payable for any one (1) Disability Benefit Period will be up to fifty-two (52) times the applicable amount of weekly benefit as determined in III.B. above.

#### E. Disability Benefit Determination

Any Employee covered under the Plan who becomes disabled by any physical or mental illness or injury including pregnancy, childbirth or related medical conditions, so as to prevent him or her from performing his or her regular or customary work, will be paid benefits for the period of such disability as follows, subject to the provisions of the "Limitations and Exclusions" listed in Section III F. For any Employee who

participates in a vocational rehabilitation plan in accordance with the California Labor Code, regular or customary work will mean, upon completion of such rehabilitation plan, only that employment for which the Employee has been retrained.

## F. Exclusions and Limitations for Disability Benefits

### 1. No benefits are payable:

- a. For any period of Disability which is not supported by a Certificate from a treating physician which contains a diagnosis and diagnostic code prescribed in the International Classification of Diseases, or, where no diagnosis has yet been obtained, a detailed statement of symptoms. The Certificate must also contain a statement of medical facts, including secondary diagnoses when applicable, within the Physician's knowledge, based on a physical examination and a documented medical history of the Employee by the Physician, indicating his or her conclusion as to the Employee's Disability, and a statement of his or her opinion as to the expected duration of the Disability. However, as to any Employee who is hospitalized in or under the care of any medical facility of the United States government, a Certificate as to the Employee's Disability, signed by any duly authorized medical officer of such facility, will be acceptable. With respect to an Employee who is hospitalized in a county hospital, or hospitalized by said county hospital in another hospital, a Certificate stating the date that the Physician ordered the confinement of the Employee and the duration of such confinement, signed by the registrar of the hospital will satisfy the requirement of this part. **However, such Certificate is not required:**
  - (1) If the Employee submits evidence of receipt of temporary disability benefits under a workers' compensation law for any day for which the Employee is entitled to receive Unemployment Compensation Disability (UCD) benefits. Plan benefits will be reduced by such temporary workers' compensation disability benefits.
  - (2) If any Employee in good faith adheres to the teachings of any bona fide church, sect, denomination or organization which depends for healing entirely upon prayer or spiritual means, the Certificate of a duly authorized or accredited Practitioner of such bona fide church, sect, denomination or organization as to the Disability of the Employee and the estimated duration of such Disability, will be accepted.
  - (3) If an Employee has been referred or recommended by competent medical authority to participate as a resident of any approved alcoholism recovery home, and an authorized representative of the facility certifies that the Employee is a resident participating in a State approved alcoholism recovery program.
  - (4) If an Employee has been referred or recommended by competent medical authority to participate in an approved drug-free residential facility, and an authorized representative of the facility certifies that the Employee is a resident participating in a State approved drug-free residential facility.
  - (5) If an Employee has been ordered not to work by written order from a state or local health officer because the Employee is infected with, or suspected of being infected with, a communicable disease.
- b. For any period of Disability for which benefits are paid or payable under any Unemployment Compensation Act of the United States or of any state.
- c. For any day for which the Employee receives wages or regular wages from any employer (excluding vacation pay), except that such benefits will be paid for any seven (7) day week or partial week, in an amount not to exceed his or her maximum weekly amount provided by this Plan, which together with the wages or regular wages received, does not exceed his or her weekly wage, exclusive of wages paid for overtime, immediately prior to the commencement of the Employee's Disability.
- d. For any day of unemployment and Disability for which the employee receives, or is entitled to receive benefits or cash payments for:
  - a) temporary or permanent disability indemnity, under a workers' compensation or employer liability law of this state, or any other state, or the federal government. If such cash payments for a) temporary or b) permanent disability, are less than the amount the Employee would otherwise receive as benefits under this Plan.
- e. If any individual has filed with the California Employment Development Department, and each of his or her employers, a statement declaring the Employee's adherence to the faith or teaching of any bona fide religious sect, denomination, or organization and in accordance with its creed, tenets, or principles, depends for healing upon prayer in the practice of religion, and the Employee's statement disclaims any disability benefits based on Wages or Regular Wages paid while such statement is in effect. This limitation is applicable during the period when such exemption is in effect and for a period of three (3) months following rescission exemption certificate.
- f. To an individual who is a) incarcerated, in any federal, state, or municipal penal institution, jail, medical facility, public or private hospital, or in any other place because of a criminal conviction of a federal, state, or municipal law or ordinance or b) who commits a crime and is disabled due to an illness or injury, caused by, or arising out of the commission of, arrest, investigation, or prosecution of any crime that results in a felony conviction.

2. Disability benefits will be limited to the State Disability Plan benefit (as defined herein), payable from this plan, under the following situations:

- a. For disabilities occurring before 12:00 midnight of the fifteenth (15th) day following the commencement of a temporary layoff (definite rehire date given) without pay or a leave of absence without pay, whichever occurs first.
- b. For disabilities which result in the Employee being unable to perform his or her regular or customary work, but such Employee is not Wholly Disabled, and the Employee has been offered alternative employment by the Employer that is of comparable status and compensation to his or her previous occupation and the Employee has declined the alternative employment offer.
- c. For disabilities during any portion of the Disability Benefit Period when the employee fails to comply with the requirements of Appropriate Care and Treatment recommended by the treating Physician. This limitation shall remain in effect until the Claims Administrator receives satisfactory evidence of compliance from the treating Physician.

## IV. PAID FAMILY LEAVE BENEFITS

Any Employee covered under this Plan who takes PFL to care for a Family Member's Serious Health Condition or to Bond with a Child, will be paid benefits for the period of such leave as follows, subject to the provisions of the "Exclusions and Limitations" listed in Section IV.F.

### A. Waiting Period

1. Provided medical certification and/or other documentation supporting the claim has been submitted, benefits will begin on the later of:
  - a. the eighth (8th) day of Family Care Leave, or
  - b. the date immediately following the required use of forty (40) hours of accrued vacation.
2. Periods of PFL for the same Care Recipient within a Twelve (12) Month Period are considered one (1) PFL benefit period and, therefore require only one (1) waiting period.
3. Periods of Disability due to pregnancy and periods of PFL for Bonding associated with the birth of the Child are considered one (1) benefit period for the mother and therefore, require only one (1) waiting period. The mother is not required to use forty (40) hours of accrued vacation between the end of the Disability Benefit Period and the beginning of the PFL benefit period for Bonding.

### B. Amount of Benefits

The amount of weekly benefit for which an Employee is covered under the Voluntary Plan will provide a benefit of sixty percent (60%) of current Earnings to a maximum of one-thousand and one-hundred fifty dollars (\$1,180) per week and a minimum of fifty dollars (\$50) per week.

The maximum and minimum weekly benefit for which an Employee is covered under PFL will be the same amount as the maximum and minimum weekly benefit under the Voluntary Plan.

### C. Benefits for Less Than One (1) Week

For each day of any **full-time** continuous period of Family Care Leave for which benefits are payable and which is less than a full week, the amount of benefit payable will be one-seventh (1/7th) of the amount of the weekly benefit.

If Family Care Leave is taken intermittently or part-time, benefits will be calculated and paid on a wage loss basis, per CUIC 2656.

### D. Maximum Total Benefit

The maximum benefit payable for any one (1) PFL benefit period shall be six (6) times the applicable weekly benefit amount during a consecutive Twelve (12) Month Period of time.

### E. Paid Family Leave Determination

A covered Employee may be eligible for PFL benefits if he or she is unable to perform his or her regular or customary work because he or she is providing care for the Serious Health Condition of a Family Member or Bonding with a new minor Child. PFL for Bonding claims is limited to the first (1<sup>st</sup>) year after the birth, adoption, or Foster Care Placement of the Child.

The Serious Health Condition of the Family Member that warrants the care of the Employee must be established by a Certificate from a Physician or Practitioner or from an inpatient care facility in accordance with the California Unemployment Insurance Code Section 2706.2. The information provided must be within the Physician's or Practitioner's knowledge and will be based on a physical examination and documented medical history of the Family Member.

The supporting documentation that provides satisfactory evidence of the birth, adoption, or Foster Care Placement of the Child and that verifies the relationship of the Claimant to the Child must be provided in accordance with Section 2708(c) -1 of the California Unemployment Insurance Code.

### F. Exclusions and Limitations for Paid Family Leave

PFL benefits are not payable under the following conditions:

1. For any period for which the Employee is eligible for unemployment insurance in California or any other state or the federal government.
2. For any days for which the Employee receives Wages or Regular Wages. However, Wages or Regular Wages plus benefits may be paid in an amount, which does not exceed the Employee's regular weekly wage, exclusive of overtime, immediately prior to the commencement of the Family Care Leave. Wages or Regular Wages includes paid time off (or any non-specific leave provided by the Employer) if it is used for purposes of Family Care Leave.
3. For any period for which benefits are payable under a workers' compensation or employer liability law of California or any other state, or for the federal government, for temporary disability in an amount equal to or in excess of the PFL weekly benefit amount for this Plan. Note: PFL benefits are payable for any difference between the PFL weekly benefit amount and the temporary disability weekly benefit amount.
4. For any period for which benefits are payable under a disability insurance act of California or any other state, or any company plan established in lieu of a state plan.
5. For the same period of time in a day for which another Family Member is ready, willing, able, and available to provide the required care.

## V. SIMULTANEOUS COVERAGE

### A. Simultaneous Coverage for Disability Claims

In case of any period of Disability for which an Employee entitled to benefits hereunder is simultaneously covered by one (1) or more other plans (including Voluntary Plans and the State Disability Plan) and accordingly is entitled to other Unemployment Compensation Disability (UCD) benefits on account of the same Disability or PFL, the amount payable under this Plan for such period will be:

1. The amount, if any, by which the basic benefits to which the Employee otherwise would have been entitled under this Plan exceeds the benefits to which he or she would have been entitled under the California Unemployment Insurance Code if the Employee were not covered by any Voluntary Plan; plus
2. The quotient of the amount of basic benefits to which the Employee would have been entitled under the California Unemployment Insurance Code if he or she were not covered by any Voluntary Plan, divided by the number of plans (including Voluntary Plans and the State Disability Plan), under which the Employee is simultaneously entitled to benefits.

### B. Simultaneous Coverage for Paid Family Leave Claims

Simultaneous coverage exists when a Claimant is covered by and eligible from one (1) or more plans (including Voluntary Plan and the State Disability Plan) at the time he or she establishes a Care Recipient Period. The plan(s) under which the Care Recipient Period is established in PFL remain liable for all claims associated with the same Care Recipient through the end of the Twelve (12) Month Period, regardless of any change in employment. Liability for PFL benefits remain with the plan(s) that covered the Claimant when the Care Recipient Period was established.

Under simultaneous coverage, each Voluntary Plan is counted as one (1) plan. The State Disability Plan is counted as one (1) plan, even if the Employee works for more than one (1) State Disability Plan covered Employer. The plans equally divide the State Disability Plan weekly and maximum benefit rates. Additionally, each Voluntary Plan pays the difference, if any, between the full State Disability Plan benefit and the amount of benefit entitlement under that Voluntary Plan.

## VI. TERMINATION OF INDIVIDUAL EMPLOYEE COVERAGE

An Employee's coverage will terminate:

- A. At midnight of the date of termination of employment by Termination of the Employer-Employee Relationship, or at midnight of the fifteenth (15th) day following the commencement of a layoff without pay (a permanent termination of the employment relationship is not a layoff for purposes of this provision regardless of the term used to designate it).
- B. At midnight of the fifteenth (15th) day following the commencement of a leave of absence without pay.
- C. On the date the Employee ceases to be an eligible Employee.
- D. As of the beginning of the next Calendar Quarter following the date the Employee has given written notice of his or her intention to withdraw from the Plan.
- E. On the date of termination of the Plan.
- F. Termination of approval of the VP by the Director of EDD.
- G. Withdrawal of the VP by the Employer or a majority of its Employees employed in the State covered by the Plan.

## VII. CONTRIBUTIONS

Employee contributions to the Plan, if any, shall be an amount equal to or less than the contribution rate established by the California Employment Development Department for the California State SDI Disability Plan each year. Employees will be notified of the Plan contribution rate, if any, for the following year, no later than December 31st of the prior year.

## VIII. COMPLIANCE

The Employer hereby guarantees that each Employee covered by this Plan will in all respects be afforded rights at least equal to those afforded by the State Disability Plan and will receive a weekly rate and maximum amount and duration of benefits at least equal to those which the Employee would have received from the State Disability Plan but for coverage by this Plan. Except as otherwise provided, this Plan will be administered in conformity with all applicable rules and regulations governing the State Disability Plan. If an invalid State Disability Plan award is received due to insufficient qualifying earnings, the Employee may be entitled to further benefit considerations under the benefit rights of the long-term unemployed.

If during the Base Period the Employee was in military service, received workers' compensation benefits, or did not work due to a trade dispute, the Employer may be able to substitute Wages or Regular Wages paid in prior Calendar Quarters to establish or increase the Employee's benefit amount. The Employee may contact the Claims Administrator or the State Disability Insurance field office in his or her local area for further information.

## IX. CLAIMS

To claim benefits under this Plan for Disability or PFL contact The Hartford at (877) 936-5340 between the hours of 8am – 6pm Pacific Standard Time. Except for good cause, a claim must be filed within sixty (60) days from the first (1st) compensable day of unemployment and Disability.

An individual eligible to receive benefits under this plan may choose to redirect a portion of his or her weekly benefit to cover all or part of the cost of Employee paid benefits. In order to allow the Employer to redirect a portion of the Voluntary Plan benefit, the Employee must give permission, in writing, for the weekly amounts to be redirected for payment of the Employee paid benefits. This redirection may be initiated at the time the Employee applies for Voluntary Plan benefits or at any time while receiving Voluntary Plan benefits. The Employee may terminate or change the terms of the voluntary plan redirection of benefits at any time while receiving benefits under this Voluntary Plan.

Under the provisions of the California Unemployment Insurance Code, the Employer or its authorized Claims Administrator will have the right to (A) require supplemental forms from the Physician or those authorized to certify disabilities as often as deemed necessary, and (B) have a Physician examine any Employee or Care Recipient while he or she is claiming benefits under this Plan. This may be done when and as often as may be reasonably required during the period payment may be due under this Plan. Continued medical certification, signed by a certified Physician or Practitioner, must be submitted within twenty (20) days of the date the Employee is issued a notice of final payment or the Employee receives a request for additional medical certification, whichever is later. Additional medical certification may be requested when and as often as may be reasonably required during the period payment may be due under this Plan.

**X. OVERPAYMENTS**

In the event that the calculation of a benefit under this Plan results in an overpayment to the Employee for any reason, the Employee will be required to repay such overpayment to the Plan to the extent permitted under the California Unemployment Insurance Code and the California Code of Regulations. The Employer will make reasonable arrangements with the Employee or his/her legal representative for the repayment to the Plan of such overpayment, including but not limited to, reduction of future benefits under the Plan or the reduction of future pay from the Employer as allowed under the California Unemployment Insurance Code and the California Code of Regulations.

**XI. APPEALS**

**A. Appeal of Denial of Disability or PFL Benefits**

An Employee who is denied benefits under the terms of this Plan may appeal the denial within twenty (20) days after service of the denial. Written appeals must be signed and shall include the Employee's name and Social Security number, as well as the name of the Employer and the reason for filing the appeal. An Employee may also appeal if he or she does not receive notice denying benefits within thirty (30) days after the claim was sent to the VP. In such cases, the Employee must file the appeal after thirty (30) days and within sixty (60) days from the date the claim was sent to the Voluntary Plan. In both cases of denial and lack of notice of denial, the Employee must send the appeal to the EDD for processing. The EDD, although a party to any appeal, generally does not attend this type of hearing.

Disability appeals may be sent to any EDD office. PFL appeals must be sent to:

Paid Family Leave  
 PO Box 997017  
 Sacramento, CA 95799-7017

**B. Payment of Benefits Pending Appeal**

As provided in California Code of Regulations, an Employee may elect to continue to receive Disability or PFL benefits pending the outcome of a timely appeal to an administrative law judge when the Voluntary Plan had determined the Employee initially eligible and subsequently found the Employee to be ineligible.

**C. Disputed Coverage Appeals**

An Employee, the EDD, or the VP may appeal a denial of coverage for Disability or PFL within thirty (30) days of the date the notice of denial was mailed.

In disputed coverage cases in which a denial of coverage is not furnished, an appeal will be filed after twenty-five (25) days and within fifty-five (55) days from the date the appellant sends a request for payment of benefits to the Department or VP. If eligible, the Employee will be paid benefits by the plan that initially received the claim, pending disposition of the Disputed Coverage appeal.

**XII. DEFINITIONS**

- A. *Base Period*** means the following:
 

	The "Base Period" is the twelve (12) months
If the claim begins in:	which ended the preceding:
January, February, or March.....	September 30
April, May, or June.....	December 31
July, August, or September.....	March 31
October, November, or December.....	June 30
- B. *Bond or Bonding*** means to develop a psychological and emotional attachment between a Child and his or her primary care giver(s). This involves being in one another's physical presence.
- C. *Calendar Quarter*** means a period of three (3) consecutive months commencing with the first (1st) day of January, April, July or October.
- D. *Care Recipient*** means either the Family Member as defined in these definitions, who is receiving care for a Serious Health Condition, or the new Child with whom the Claimant is Bonding.
- E. *Care Recipient Period*** means all periods of Family Care Leave that an employee takes within a Twelve (12) Month Period to care for the same Care Recipient.
- F. *Certificate*** means the signed statement of a Physician, Practitioner, or a registrar of a county hospital of this State, on a form prescribed by the department, except that a Certificate signed by a physician licensed by and practicing in a state other than California or in a foreign country, or in a territory or possession of a country, except a duly authorized medical officer of any medical facility of the United States Government, will be accompanied by a further certification that such physician holds a valid license in the state or foreign country, or in the territory or possession of the country, in which he or she is practicing.
- G. *Child*** means a biological, adopted, or foster son or daughter, a stepson, a stepdaughter, a legal ward, a son or daughter of a Domestic Partner, or the person to whom the Employee stands In Loco Parentis. This definition of a Child is applicable regardless of age or dependency status.
- H. *Claimant*** means an individual who has filed a claim for benefits from the Voluntary Plan or the State Disability Plan for Disability or PFL

benefits.

- I. **Claims Administrator** means The Hartford. Claims are sent to The Hartford at P.O. Box 14302, Lexington, KY 40512-4302 Fax: (866) 583-8237.
- J. **Disability** means a physical or mental illness or injury that renders an Employee unable to perform his or her regular or customary work. Disability refers to claims for unemployment disability compensation for an Employee's own illness or injury. Disability always applies to the Employee's own condition and not to PFL claims.

An individual is unable to perform his or her customary work if he or she is ordered not to work by written order from a State or local health officer because he or she is infected with, or suspected of being infected with, a communicable disease.

- K. **Disability Benefit Period** for disability purposes means a continuous period of unemployment and Disability beginning with the first (1<sup>st</sup>) day an Employee files a Valid Claim for Disability benefits. Two (2) consecutive periods of Disability due to the same or related cause or condition and separated by a period of not more than sixty (60) days is considered to be one (1) Disability Benefit Period.

**Disability Benefit Period**, for purposes of PFL, means the period of unemployment beginning with the first (1<sup>st</sup>) day an Employee establishes a Valid Claim for PFL to care for the Serious Health Condition of a Family Member, or to Bond with a new minor Child during the first (1<sup>st</sup>) year after the birth or Placement of the Child in connection with Foster Care or adoption.

Periods of Family Care Leave for the same Care Recipient within a Twelve (12) Month Period will be considered one (1) Disability Benefit Period.

Periods of Disability for pregnancy and periods of Family Care Leave for Bonding associated with the birth of that Child will be considered one (1) Disability Benefit Period.

- L. **Domestic Partner** has the same meaning as defined in **Section 297 of the California Family Code**.
- M. **Employee** means any individual whose service with the Employer is considered employment within the meaning of the California Unemployment Insurance Code. Full-time is defined as an Employee hired into a position scheduled for twenty (20) or more hours per week. Part-time is defined as an Employee hired into a position scheduled for fewer than twenty (20) hours per week.
- N. **Employer** means Santa Clara University.
- O. **Family Care Leave** means either of the following:
  - 1. Leave to Bond with a new minor Child within the first (1<sup>st</sup>) year of the Child's birth or Placement in connection with Foster Care or adoption.
  - 2. Leave to care for a Family Member who has a Serious Health Condition.
- P. **Family Member** has the same meaning as defined in CUIIC Section 3302; it means Child, Grandchild, Grandparent, Parent, Parent-in-law, Sibling, Spouse, or Domestic Partner as defined in these definitions.
- Q. **Foster Care** means twenty-four (24) hour care for children in substitution for, and away from, their Parents or guardian. Such Placement is made by or with the agreement of the State as a result of a voluntary agreement between the Parent or guardian that the Child be removed from the home, or pursuant to a judicial determination of the necessity for Foster Care, and involves agreement between the State and foster family that the foster family will take care of the Child. Although Foster Care may be with relatives of the Child; States action is involved in the removal of the Child from parental custody.
- R. **Grandchild** has the same meaning as defined in CUIIC Section 3302; the Child of the employee's Child.
- S. **Grandparent** has the same meaning as defined in CUIIC Section 3302; the Parent of the employee's Parent.
- T. **In Loco Parentis** exists when a person undertakes care and control of a Child in the absence of such supervision by the natural Parents and in the absence of formal legal approval. This includes persons with day to day responsibilities to care for and financially support a Child. It also includes the person who had such responsibilities to care for and financially support a Child. A biological or legal relationship is not necessary.
- U. **Paid Family Leave or PFL** means the program that provides up to six (6) weeks of wage replacement to workers who take time off to Bond with a new Child or to care for the Serious Health Condition of a Family Member.
- V. **Parent** means a biological, foster, or adoptive Parent, a Stepparent, a legal guardian, or other person who stood In Loco Parentis to the Employee when the Employee was a Child.
- W. **Parent-in-Law** has the same meaning as defined in CUIIC Section 3302; the Parent of a Spouse or a Domestic Partner.
- X. **Physician or Health Care Provider (H.C.P)** means physicians and surgeons holding an M.D. or D.O. degree, psychologists, optometrists, dentists, podiatrists, and chiropractic practitioners licensed by California state law and within the scope of their practice as defined by California state law. Psychologist means a licensed psychologist with a doctoral degree in psychology, or a doctoral degree deemed equivalent for licensure by the Board of Psychology pursuant to Section 2914 of the Business and Professions Code, and who either has at least two (2) years of clinical experience in a recognized health setting or has met the standards of the National Register of the Health Service Providers in Psychology. For certification purposes, Physician and Practitioner may be used interchangeably.
- W. **Placement** means a change in physical custody of a Child from a public agency or adoption agency into the custody of Foster Care or adoptive Parents.
- X. **Plan** means the Voluntary Plan described in this document.
- Y. **Practitioner** means a person duly licensed or certified in California acting within the scope of his or her license or certification who is a dentist, podiatrist, physician's assistant or a nurse practitioner, and in the case of a physician's assistant or nurse practitioner, after performance of a physical examination and collaboration with a physician and surgeon, or as to normal pregnancy or childbirth, a midwife or nurse midwife, or nurse practitioner. For certification purposes, Physician and Practitioner may be used interchangeably.

- Z. *Serious Health Condition*** means an illness, injury, impairment, or physical or mental condition that involves inpatient care in a hospital, hospice, or residential health care facility, or continuing treatment or supervision by a Health Care Provider, as defined in Section 12945.2 of the California Government Code.
- AA. *Sibling*** has the same meaning as defined in CUIIC Section 3302; a person related to another person by blood, adoption, or affinity through a common legal or biological Parent.
- BB. *Spouse*** means a partner to a lawful marriage.
- CC. *State*** means the State of California.
- DD. *State Disability Plan*** means the benefits payable from the State Disability Fund pursuant to Part 2 of Division 1 of the California Unemployment Insurance Code (CUIIC).
- EE. *Stepparent*** means a person who is a party to the marriage with respect to a minor Child of the other party to the marriage.
- FF. *Termination of the Employer-Employee Relationship*** means that employment ceases with no mutual expectation or intention to continue the employment relationship. Reasons for Termination of the Employer-Employee Relationship include, but are not limited to, separation, dismissal, resignation, and retirement.
- GG. *Twelve (12) Month Period*** means the three-hundred and sixty-five (365) consecutive days that begin with the first (1<sup>st</sup>) day an Employee first establishes a Valid Claim for PFL.
- HH. *Valid Claim*** means any claim for PFL benefits made in accordance with the provisions of the **California Unemployment Insurance Code Section 3302**, and any rules and regulations adopted there under, if the individual claiming benefits is unemployed and has been paid the necessary wages in employment for Employees to qualify for benefits under Section 2652 and is caring for the Serious Health Condition of a Family Member, or Bonding with a minor Child during the first (1<sup>st</sup>) year after the birth or Placement of the Child in connection with Foster Care or adoption.
- II. *Voluntary Plan or VP*** means a Voluntary Plan established pursuant to Part 2 of the California Unemployment Insurance Code (CUIIC).
- JJ. *Wages or Regular Wages***, for the purposes of Disability benefit determination means the basic rate of pay paid to the Employee by the University (excluding overtime, shift differential pay, bonuses, commissions, etc.). The basic rate of pay will be calculated as 86.67 (standard semi-monthly hours for a full-time employee) X FTE (standard hours worked each week) X hourly rate during the last pay period immediately prior to the date of disability.
- For Campus Safety employees who work 12 hour shifts as part of their regular work schedule, Wages or Regular Wages includes the basic rate of pay paid to the Employee by the University, *including overtime* (excluding shift differential pay, bonuses, commissions, etc.) The basic rate of pay will be calculated as 86.67 (standard semi-monthly hours for a full-time employee) X FTE (standard hours worked each week) X hourly rate during the last pay period immediately prior to the date of disability.
- KK. *Week*** means the seven (7) consecutive day period beginning with the first (1<sup>st</sup>) day with respect to which a Valid Claim is filed for benefits and thereafter the seven (7) consecutive day period commencing with the first (1<sup>st</sup>) day immediately following such week or subsequent continued weeks of PFL.
- LL. *Wholly Disabled*** means the Employee's inability to perform the duties of any occupation for which he or she has experience, training or education.

### **XIII. OTHER REQUIREMENTS**

- A.** Security, as required by the Employment Development Department, will be deposited to secure the operation of the Plan. The amount of the deposit will be determined by the Employment Development Department and will be deposited with the State Treasurer for the purpose herein specified.
- B.** The Employer agrees to furnish to the Employment Development Department the information, reports, and records as are required for the proper administration of the Plan.
- C.** The Employer agrees to pay all valid assessments or charges levied by the Employment Development Department in accordance with the California Unemployment Insurance Code. All State assessments and administrative expenses may be paid for directly from the Voluntary Plan Fund established for this Plan.
- D.** The Plan will continue in effect for a period of one (1) year from the effective date and continuously thereafter unless thirty (30) days advance written notice is given to the State of the termination of the Plan. Termination will be effective only on the anniversary of the effective date of the Plan next following the filing of the notice; except that the Plan may be terminated on the operative date of any law increasing the benefit amounts provided by Sections 2653 and 2655 or the operative date of any change in the rate of worker contribution as determined by Section 984, if notice of the termination of the Plan is transmitted to the Director of Employment Development not less than thirty (30) days prior to the operative date of such law or change. If the Plan is not terminated on such thirty (30) days notice because of the enactment of a law increasing benefits or because of a change in the rate of worker contributions as determined by Section 984, the Plan will be amended to conform to such increase or change on the operative date of the increase or change.