Please note all group photos were taken with COVID-19 pandemic safety protocols.
As Santa Clara University steps boldly into the future, we continue to preserve the best of our past. I am honored and excited to build upon this institution’s rich, Ignatian history to shape an even brighter tomorrow for us all. Thanks to the generosity of our benefactors, as well as the continued funding from the University’s endowment, we are advancing the common good—together.

Endowed funds provide opportunities for underserved and deserving students, support the research and teaching of our distinguished faculty, and promote intercultural programs that enrich Bronco communities the world over. Beyond creating and imparting knowledge, we are cultivating critical thinkers who will lead us toward a more humane, just, and sustainable world.

The following pages illustrate the collective power of philanthropy. You will read about the importance of receiving a well-rounded education that embraces diversity, encourages self-discovery, and values the pursuit of truth. These stories display the impact of your investment and our university mission in action. A Santa Clara education has always been guided by competence, conscience, and compassion. Your generosity enables us to continue this tradition by upholding an uncompromising standard of excellence in our teaching, learning, creativity, and scholarship.

The profound effect of endowed funds opens doors for more scientists and scholars, artists and engineers. Through the ingenuity and expertise of our remarkable investment team, your gracious contributions ensure accessible education, research funding for pioneering faculty, and transformative student programs for years to come.

I remain deeply filled with gratitude for your steadfast dedication toward our vision and look forward, with joy and anticipation, to our work advancing this blessed community that is Santa Clara University.

Sincerely,

Julie H. Sullivan, Ph.D.
President, Santa Clara University
Humankind has always been captivated by the sky and the stars above. But it wasn’t until the 20th century that aerospace engineering helped us not just reach them, but traverse them, connecting us to different parts of our world and even universe. Developing these types of flight-capable machines is what Julian Brown intends to make his life’s work. As an ambitious senior majoring in mechanical engineering with a minor in aerospace engineering, Brown aspires to become an aeronautical engineer, a dream he has had his whole life. And one, he says, that could not have been possible without the scholarship assistance he received from Santa Clara’s generous endowed fund benefactors.

Brown has been a deserving recipient of the Catala Club Endowed Scholarship Fund for all four years at Santa Clara. The dedicated members of Catala are comprised of women of wide-ranging backgrounds, occupations, and faiths who have helped shape the future leaders of tomorrow through their incredible contributions. This year, Brown also received scholarship assistance from the Janice and Thomas Berthold Engineering Scholarship Fund thanks to his stellar academic performance.

“As a first-generation college student,
I feel incredibly fortunate to have the opportunity to pursue an education and a career in aeronautical engineering,” he says gratefully.

Over the course of his time at Santa Clara, Brown feels like the academic challenges he faced helped him grow in many ways. “I have learned an incredible amount about different subjects related to my field,” he says, noting that in his personal life he has become more active socially than in the past and has discovered a great deal about living in a diverse community of students and people. “This has been a very important experience for me because I grew up in a small town with little diversity in any regard.”

After remote learning during the pandemic, Brown says he has really appreciated engaging with his professors in person, emphasizing how face to face interactions foster greater connections and relationships. He also admits he was quite impressed by how much faculty rose to the occasion and how they incorporated creative teaching methods into their remote lessons.

This past summer, Brown completed an internship at the School of Engineering’s Robotic Systems Lab, led by Christopher Kitts, the William and Janice Terry Professor. Given his aptitude for well-rounded engineer by being exposed to mechanical, electrical, and computer systems all integrated into one device.” He adds, “Getting a sense of how those systems go together through real-world engineering experience is so important.” Brown also counts the Sobrato Campus for Discovery and Innovation as a significant resource for furthering this cross-disciplinary mindset. “I think it could be used as a really valuable stepping stone toward making Santa Clara an even more competitive, cutting-edge institution.”

Brown also believes that it is the Jesuit tradition of nurturing and educating the whole person that has really inspired him to pursue his dreams—it is one of the reasons he chose to attend SCU in the first place. Growing up in a small town where fishing and tourism were the main industries, and as a restaurant employee himself, Brown understands the importance of self-development, no matter your chosen career path. It’s this notion of lifelong learning that speaks to him most. He points out, “I would never think that when I receive my diploma, that paper says I know what I’m doing. As a lifelong learner, I am consistently refining the craft and conducting an internal audit on my logic.” Brown hopes it is this kind of philosophy, a hallmark of Ignatian spirituality, that will drive his future career in aeronautics and help him be “a better engineer tomorrow than I was today.” It is clear this humble human will continue that quest until he reaches the stars.

“As a first-generation college student, I feel incredibly fortunate to have the opportunity to pursue an education and a career in aeronautical engineering.”
For students like Christopher Buenrostro ’23, Hayley Harrison ’23, and Jacob Atkins ’24, three of the recipients of the Barton Family Endowed Internship Program Fund, interning with local community partners through the REAL Program helped them explore how their interests could translate into a career. Aligned with Santa Clara’s mission to promote learning beyond the classroom, the holistic education undergraduates receive here and through internships such as these ensures they will become passionate leaders of tomorrow.

Established in 2015 by Laura Barton, mother of Christopher Barton ’14, the Barton Family Endowed Internship Program Fund fills the financial gap created when students pursue an unpaid internship. Through the Barton family’s generosity, students who participate in faculty-led research and secure unpaid internships related to their studies are able to receive stipends. These transformative opportunities allow students to gain invaluable real-world experience, build their professional networks, and learn more about their future careers. During this fiscal year, the fund supported the College of Arts and Sciences’ REAL Program.

A dynamic program for students across the college’s 27 disciplines, the REAL Program provides opportunities for undergraduates to discover their calling and put their Santa Clara education into practice. Katy Korsmeyer, biology professor and director of special projects for the college, states the REAL Program’s internships go beyond creating photocopies, answering phones, or fetching coffee. “We help students find meaningful roles in their chosen field that link academics to a career.”

In this way, the REAL Program allows students to discern what really speaks to them through a trial run. Many individuals who claim to have a career in mind discover quickly that it is not what they had anticipated. “Find your calling by exploring the intersection of your majors, minors, and interests,” encourages Korsmeyer. “We empower students to follow their passions, whatever they are, beyond what’s initially set in their head.”

In addition to gaining hands-on professional experience and developing new skills, students learn to contribute as a valued member of a team. “Understanding expectations of themselves and those others have of them is huge,” remarks Korsmeyer.

During his internship, psychology major Christopher Buenrostro planned a large-scale, longitudinal study of the effectiveness of the Examen, a 500-year-old reflective Jesuit prayer. Under the guidance of Thomas Plante, the Augustin Cardinal Bea, S.J., University Professor, their proposed research will take place in a nonreligious addiction treatment facility. Buenrostro hopes the centering nature of the prayer might hold promise.
for treating individuals at inpatient and outpatient settings. By carrying out this study, Buenrostro and Plante will progress the adoption of the wealth of Jesuit literature in a clinical setting for modern-day addiction treatment.

Working with one of Santa Clara County’s largest nonprofit providers of behavioral health services, Hayley Harrison, a psychology major and cross-country student-athlete, helped investigate the influence of screen-mediated shared reading, a reader-facilitated process that promotes children’s learning and engagement. This research addresses how children’s learning fits in a world where technology is rapidly advancing and becoming ever more prominent. Upon completion of the study, Harrison will present her findings to the developmental psychology research field and beyond.

As a socially conscious political science major, Jacob Atkins was placed with Santa Clara County’s Division of Equity and Social Justice. While there, he evaluated its social justice programs and helped with division-wide strategic planning. Through an equity-centric lens, he focused on how organizational structures of office hierarchies impact the workplace culture. Atkins’ hope is to highlight areas of improvement for the division that align with the county’s mission to address equity and social issues within the community.

Since its inception, the REAL Program has grown to support over 500 undergraduates. “We would love more students to have access to this experience,” Korsmeyer notes as she details her vision for the future. The ultimate goal of the REAL Program, aided through funding from donors like the Barton family, is for every undergraduate to have the opportunity to meaningfully bridge the concepts and theories they have learned in professional settings.

Recently, the College of Arts and Science hired a full-time internship director dedicated to facilitating internships and careers as part of the core in academics. With this new position, the program looks to bolster its offerings for generations of future Broncos. “When a Bronco gets in the door, we’d like to see the door open wider for more Broncos to gain this type of experiential learning,” says Korsmeyer.

With assistance from the Barton Family Endowed Internship Program Fund, the REAL Program gives eager Santa Clara students the chance to put their vision, courage, and education into practice and be the change they wish to see in the world.

“Receiving a Jesuit education has shaped and influenced the way I make decisions in business and in life. The opportunity to learn from an institution that truly aims to educate the whole person with the tools to positively impact the greater society is amazing. For the chance to attend such a prestigious university with financial assistance, I thank you. I am beyond grateful and excited for this experience.”

Kalyn Simon, MBA ’23
Souza/Vaquero Agribusiness Scholarship Fund
Nearly two-thirds of American adults use social networking sites, up from 7% when the Pew Research Center began tracking social media usage in 2005. From its earliest days, its promise seemed limitless, transforming how we share, shop, learn, and express ourselves. Yet, for all of its undeniable power to unite, liberal democracies are struggling with social media’s equally potent ability to divide. Disinformation, unlike misinformation, is deliberately intended to mislead, affecting our ability to improve public health, address climate change, maintain a stable democracy, and more.

For John A. and Elizabeth H. Sutro Professor of Law David Sloss, when considering how to combat the proliferation of “fake news,” the former arms negotiator’s experience in the federal government offers some clues. After serving in the U.S. Arms Control and Disarmament Agency for a decade, Sloss developed a strong belief “in the power of international agreements to help solve a wide range of problems.”

His book, Tyrants on Twitter: Protecting Democracies from Information Warfare, examines the ways Chinese and Russian agents have weaponized Facebook, Instagram, Twitter, and YouTube to undermine liberal democracies worldwide. Sloss explores Russia’s use of foreign influence operations to weaken democracies in Europe, as well as China’s manipulation of digital tools—including social media—to disrupt Western civil society and promote autocratic regimes.
In response, he calls for cooperation among democratic governments to create a new transnational system for the regulation of social media to protect against information warfare.

“I’ve always been interested in the big problems of the day, and how I can make a useful contribution,” he says. Endowed funds are a vital means of support, enabling faculty to deepen their impact both within and outside the classroom. He is currently writing a book about the Supreme Court, in addition to his work on the Faculty Senate Council and the School of Law’s Academic Affairs Committee. Sloss also plays an active role in a number of professional and scholarly organizations, including the American Society of International Law and the American Branch of the International Law Association.

In addition to his extensive writing, research, and service, he still manages to teach courses in Property Law to first-year law students while conducting a comprehensive writing seminar in International Law. “Teaching International Law in this way is a very labor-intensive process, but I believe it’s the best way to help students improve their legal research and writing skills,” he says.

Newly appointed School of Law Dean Michael Kaufman is intent on supporting both student and faculty excellence in his new role. Building on the law school’s proven strengths in high tech, social justice, and global law, Kaufman is focused on cultivating a transformational learning community. In addition to its strong reputation for teaching and preparation for practice, the scholarly contributions of faculty like Sloss raise the school’s profile and illustrate the “impactful contributions our faculty are making within the broader field of legal education.”

Chairs and professorships like the John A. and Elizabeth H. Sutro Professor of Law boost the school’s ability to attract and retain top faculty while supporting their academic work. “SCU Law provides a modest research stipend, but the funds from the Sutro Professorship basically double it,” Sloss explains, offsetting critical expenses such as travel to conferences and funds to hire student research assistants. When originally establishing the endowed professorship, John and Elizabeth intended for their gift to further the academic excellence, purpose, and mission of the School of Law.

Looking ahead, Sloss is excited to serve as director of the School of Law’s newly established Public Interest J.D. program for students seeking careers in the government and nonprofit sectors. “This is a promising new direction for the law school and positions us to really focus on our social justice mission,” he says. The Public Interest J.D. program will welcome its first student cohort in fall 2023.
The Role of the Endowment
As stewards of the University’s endowment, the Santa Clara Investment Office manages a diversified portfolio that provides recurring financial support for key university operations. The goal is to generate sufficient returns so that the portfolio can serve both the needs of current and future generations of university stakeholders. We refer to this as “intergenerational equity.”

Underlying the endowment are over 950 donor designated pools, each contributing in a unique way to help Santa Clara deliver a world-class Jesuit, Catholic education. The largest designations include merit and need-based scholarships, funding for a variety of university programs, and endowed chairs to attract the most distinguished faculty. Every year, the University liquidates approximately 4.5% of the portfolio and contributes the proceeds to fund current operations. In fiscal 2022, this has equated to approximately $42 million or 8% of the university's operating budget.

Use of the Endowment
The past decade has demonstrated the benefit of the endowment model. Between July 2012 and June 2022, the endowment has contributed an aggregate of $360 million to the University’s operating budget while also compounding the value of the portfolio from $688 million to $1.472 billion. This growth has helped accomplish the endowment’s goal of ensuring adequate funding for current university programs while also strengthening the University for future generations of Santa Clara students.

Fiscal 2022 (July 2021 – June 2022) At A Glance:
Following a record fiscal 2021 (+53.9%), the Santa Clara endowment net investment performance was down -5.4% for Fiscal 2022. The negative performance reflects the global economic environment, which has grappled with persistent inflation, tightening monetary policy, and heightened geopolitical risks. These market forces have affected global stocks and bonds, with both asset classes reporting negative returns. For context, the MSCI All Country World Index (ACWI) was -15.6% while the Barclays US Aggregate was -10.2% over the 12 months ending June 2022.

The Investment Office is never pleased with a negative one-year performance figure, but believes its long-term track record demonstrates the quality of its portfolio and ability to navigate a difficult market cycle. Looking back three years (July 2019 – June 2022), the portfolio was +15.4% per annum which compares favorably to the +3.9% annualized return generated by the global 60% (stocks) / 40% (bonds).

Santa Clara’s three-year results remain top quartile when compared with Cambridge Associates Endowment Composite, a peer benchmark of thousands of institutions. In this case, is riskier than one with a lower volatility.

The resilience of the investment program is largely built on strong partnerships with the portfolio’s investment managers and the University’s internal risk management processes. John Kerrigan, Santa Clara’s Chief Investment Officer noted, “Santa Clara continues to believe investing behind technology and life sciences innovation will continue to lead to superior returns. Mr. Kerrigan believes our “network” advantage stems from “our long-term orientation and proximity to the Bay Area’s technology ecosystem, which has enabled us to build multi-decade relationships. Through these venture capital and other relationships, Santa Clara has been able to gain exposure to many of the world’s leading companies at their earliest stages, generating substantial benefit for the University and its students.”

Part of a disciplined long-term orientation is ensuring that an appropriate risk management framework is in place so that the portfolio can withstand the path of most any economic environment. This is even more important today in a world of heightened uncertainty. As the team looks forward, the Santa Clara Investment Office remains focused on its core principles: maintaining a well-diversified portfolio, partnering with the best-in-class managers, and making sure it has the appropriate liquidity to fund future obligations.

The charts on the opposite page compare the levels of return and risk (volatility) of the University’s endowment, its Policy Portfolio, a blended portfolio of 60% global stocks / 40% bonds and the MSCI All Country World Index (ACWI). Risk here is defined as volatility, or standard deviation. Volatility helps to measure the range of possible outcomes for a given asset or portfolio. In general, higher volatility means a greater range of potential outcomes, which means the asset, or the portfolio in this case, is riskier than one with a lower volatility.

One area of strength for the endowment has been its investments in venture capital. With the benefit of being based in Silicon Valley, Santa Clara continues to believe investing behind technology and life sciences innovation will continue to lead to superior returns. Mr. Kerrigan believes our “network” advantage stems from “our long-term orientation and proximity to the Bay Area’s technology ecosystem, which has enabled us to build multi-decade relationships. Through these venture capital and other relationships, Santa Clara has been able to gain exposure to many of the world’s leading companies at their earliest stages, generating substantial benefit for the University and its students.”

Sources: National Association of College and University Business Officers and TIAA U.S. News and World Report

Santa Clara Endowment Ranking
Rank among top Catholic U.S. and Canadian universities: 112
(in top 180 endowments by size) (As of June 30, 2021)

<table>
<thead>
<tr>
<th>NACUBO ranking</th>
<th>Endowment (totals in thousands of dollars)</th>
<th>2023 U.S. News ranking</th>
</tr>
</thead>
<tbody>
<tr>
<td>8 Notre Dame</td>
<td>$18,074,543</td>
<td>18 (tie)*</td>
</tr>
<tr>
<td>36 Boston College</td>
<td>$3,827,100</td>
<td>36 (tie)*</td>
</tr>
<tr>
<td>57 Georgetown</td>
<td>$2,592,048</td>
<td>22 (tie)*</td>
</tr>
<tr>
<td>93 Santa Clara</td>
<td>$1,537,642</td>
<td>55 (tie)*</td>
</tr>
<tr>
<td>96 St. Louis</td>
<td>$1,524,492</td>
<td>105 (tie)*</td>
</tr>
<tr>
<td>129 Villanova</td>
<td>$1,155,744</td>
<td>51 (tie)*</td>
</tr>
<tr>
<td>142 Fordham</td>
<td>$1,003,039</td>
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</tr>
<tr>
<td>148 Loyola Chicago</td>
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<tr>
<td>150 St. Johns</td>
<td>$937,687</td>
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<td>151 Marquette</td>
<td>$929,149</td>
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</tr>
<tr>
<td>178 Creighton</td>
<td>$731,987</td>
<td>115 (tie)*</td>
</tr>
</tbody>
</table>

*Cambridge Associates is a leading global investment firm working with endowments and foundations, healthcare systems pension plans, and private clients, with over 45 years of institutional investment insights and research to help shape best practices and build strong investment networks.
Endowment Value: $1,472,000,000
(as of June 30, 2022)

Return & Risk Summary
(as of June 30, 2022)

Santa Clara University Use of Endowment 2021 Academic Year

- Programs & Operations: $16,256,000
- Scholarships: $16,164,000
- Faculty Chairs: $8,118,000
- Athletic Scholarships: $1,412,000
- Prizes & Awards: $293,000

Santa Clara University Endowment Growth

$42,243,000
“I am very honored and grateful to receive this scholarship. Being a student-athlete here at Santa Clara University means a lot. It has provided me the opportunity to prepare for my future—whether that be on the golf course or in the working world. I am making connections that will last beyond my four years here at Santa Clara and I will always be proud to be a Bronco!”

Jolo Magcalayo ’25, Communication
Jack Previte Endowed Golf Men’s Scholarship Fund
Endowment FAQ

1. What is an endowment and how does it strengthen Santa Clara University?

The endowment, the permanent capital of the University, provides funding to support the academic mission of Santa Clara University for current and future students and lends fiscal stability to the institution. The University’s endowment consists of 950 individual funds established for a variety of purposes, including both donor-restricted endowment funds and funds designated by the governing board to function as endowments. Income from the endowment augments Santa Clara’s operating budget and its core priorities of financial aid, faculty excellence, and research. This support is critical in today’s extremely competitive academic environment.

2. What are the minimum endowment levels to establish and name an endowed fund?

The minimum gift required to establish a named endowment fund is $100,000. Endowment gifts can be pledged and paid over a period of up to five years.

3. What percentage of Santa Clara’s operating expenditures does endowment income support?

Endowment distributions supported approximately 8 percent of the University’s operating expenditures during the 2021–2022 academic year.

4. Why are donor contributions to the endowment important?

Like an individual or family, every institution must save for its future. Building the endowment means strengthening Santa Clara’s financial base for the long term and maintaining the purchasing power of the endowment in perpetuity. Gifts that donors direct to the endowment are pooled, then invested to achieve the best return. Part of the endowment income is distributed to support the program or area that a donor has specified. We are able to reinvest a portion of investment income and appreciation during a strong economy so that we can draw upon it in leaner years. The economic volatility of the last several years underscores the value of this long-term approach. It is only through philanthropy that Santa Clara’s endowment will become competitive with the endowments of its aspirational educational institutions. Support from alumni, parents, and friends increases the resources being invested and makes larger investment returns possible.

5. Who manages Santa Clara’s endowment?

The Investment Office provides full-time staff resources to manage the University’s investment portfolio under the direction of the chief investment officer (CIO). Fiduciary oversight for the endowment is vested in the Investment Committee of the Board of Trustees. The CIO oversees the management of the University’s investments and is accountable to this committee.

6. Are endowments subject to any fees?

Santa Clara does not charge fixed administrative fees to the endowment funds. Costs of managing and administering the endowment are netted from investment income and are minimal.

7. What is Santa Clara’s approach to managing its endowment?

The University’s governing board has adopted investment and spending policies for endowment assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets to create generational equity. To satisfy its long-term rate-of-return objectives, the University relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The University’s investments include a diversified portfolio consisting of equities, fixed income, and alternative assets. Targeted asset allocation percentages for each of these components are reviewed periodically throughout the year for potential adjustment of asset mix while evaluating the relative risk of each component.

8. How do market fluctuations affect Santa Clara’s endowment and the individuals and programs it supports?

As with any long-term investment portfolio, the value of Santa Clara’s endowment rises and falls with the market. In response to market fluctuations, the University assesses and rebalances the portfolio’s asset allocation to ensure sufficient liquidity to meet its operating needs, including the payout of income from endowed funds. The endowment is managed carefully to ensure that payout of income remains relatively stable.
9. What is Santa Clara’s policy on making payouts of income from “underwater” funds?

When a fund’s current market value has declined below the amount of its principal, or the amount originally invested, the fund is referred to as “underwater.” While an individual fund may be underwater, the Santa Clara endowment as a whole is not. Santa Clara, through the Uniform Prudent Management of Institutional Funds Act (UPMIFA) enacted in California, has the ability to determine fund by fund whether payout on individual funds will be made.

The University’s governing board has interpreted UPMIFA as requiring the preservation of the fair value of the original gift as of the gift date of the donor-restricted endowment funds absent explicit donor stipulations to the contrary. As a result, the University permanently restricts (a) the original value of the gifts donated to the permanent endowment and (b) the original value of subsequent gifts to the permanent endowment. From time to time, the fair value of assets associated with individual donor-restricted endowment funds may fall below the level that the donor or UPMIFA requires the University to retain as a fund of perpetual duration. Deficiencies of this nature may result from unfavorable market fluctuations that occur shortly after the investment of new permanently restricted contributions or from continued appropriation for certain programs deemed prudent by the governing board based on UPMIFA. In accordance with UPMIFA, the University considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- The duration and preservation of the fund
- The purposes of the University and the donor-restricted endowment fund
- General economic conditions
- The possible effect of inflation and deflation
- The expected total return from income and the appreciation of investments
- Other resources of the University
- The investment policies of the University

10. What is Santa Clara’s endowment spending policy and how is it determined?

Endowment spending is determined using a weighted average calculation of two components. The first component is the prior year spending allocated for each endowment increased by an inflationary factor weighted by 40 percent. The second component is a 12-quarter rolling market value average times an established spending rate of 4.5 percent weighted by 60 percent. The combination of these two calculations is the endowment spending allocation.

11. What is Santa Clara’s policy with regard to socially responsible investing?

Guided by the values associated with its Jesuit mission, Santa Clara University invests its resources in institutions that are, at one and the same time, consistent with the “prudent person” principle, capable of generating a rate of return consistent with the University’s Investment Policies statement, and socially responsible in their policies and practices. Socially responsible investments should positively contribute to the common good and be guided by traditional Catholic ethical considerations. Balancing the consistent-life ethical principles and the “prudent person” principle requires judgment on the part of the Investment Office, the Investment Committee, and each money manager.

12. How long does it typically take for endowment gifts to begin to provide funding to the specified program?

Gifts to the endowment are invested by the University in the quarter in which they are received. In the case of endowment pledges, the income may be reinvested in accordance with a donor’s gift agreement to increase principal until the pledge is completed. As designated in a donor’s gift agreement, payout from scholarship endowments may begin as the fund reaches a minimum of $50,000 in pledge payments.

The payout for an individual endowment fund is calculated based on the endowment spending policy formula (FAQ No. 10) and becomes eligible for a spending allocation to the specific program on July 1 of the following year.

A donor can make an immediate impact when establishing a new endowment by considering a blended gift. With a minimum of $100,000 directed toward the endowment, along with an expendable gift equivalent to the expected endowment payout, a donor can begin to support a specified program in advance of the full endowment payout being available.
Please note all group photos were taken with COVID-19 pandemic safety protocols.